

Entangled symmetries explain without QCD dynamics CP violation in $B^0 \rightarrow K\pi$; not in $B^\pm \rightarrow K\pi$ decays Unexpected isospin relations in $B^\pm \rightarrow K\pi$ and $B^0 \rightarrow K\pi$

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Abstract

Simple flavor symmetry argument without QCD dynamics shows why CP violation observed in neutral B to $K\pi$ decays is absent in charged B decays where tree diagram final state has two u quarks satisfying Pauli principle. Entanglement preserves short range symmetry correlations after separation into two mesons. Pauli principle and symmetries require totally flavor-symmetric tree diagram final state. $\pi\pi$ isospin state with $I=2$ already is flavor symmetric and suffers no symmetry constraint. Strange flavor-symmetric state with V spin $V=2$ is linear combination of $K\pi$ and $K\eta$ with probability only $(1/4)$ for $K\pi$. Tree diagram suppression by factor 4 not present in neutral decays explains negligible tree-penguin interference and CP violation in charged decays. Detailed full symmetry analysis shows constraints from space-inversion, charge conjugation, Pauli antisymmetrization and flavor symmetry. Two antiquarks produced at same space point by tree diagram have even parity. Even parity final state requires even parity, even space symmetry and color-spin antisymmetry for two u quarks. Color-singlet spin-singlet final state requires color-spin antisymmetry and therefore flavor symmetry for two-antiquark wave function. Flavor symmetric $\bar{u}\bar{d}$ and $\bar{u}\bar{s}$ antiquark pairs have isospin $I=1$ and V -spin $V=1$. Generalized charge conjugation invariance requires $I=2$ for $\pi\pi$ tree diagram and $V=2$ for $K\pi$. Penguin decays give $I=1/2$ final state and no CP violation. Experiments confirm surprising predictions from tree suppression factor not noted in previous analyzes. $I=1/2$ violations seen only in relation between charged and neutral decays together with no $I=3/2$ components in each individual decay. Common treatments using $\pi\pi$ data fail to fit $K\pi$ data.

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I. INTRODUCTION - CP VIOLATION OBSERVED IN NEUTRAL B DECAYS BUT NOT IN CHARGED DECAYS

Direct CP violation has been experimentally observed [1,2] in $B_d \rightarrow K^+\pi^-$ decays.

$$A_{CP}(B_d \rightarrow K^+\pi^-) = -0.098 \pm 0.013 \quad (1.1)$$

The failure to observe CP violation in charged decays [3] has been considered a puzzle [4,5] because changing the flavor of a spectator quark which does not participate in the weak decay vertex is not expected to make a difference.

$$A_{CP}(B^+ \rightarrow K_S^0\pi^+) = 0.009 \pm 0.029 \quad (1.2)$$

$$A_{CP}(B^+ \rightarrow K^+\pi^0) = 0.051 \pm 0.025$$

A general theorem from CPT invariance shows [6] that direct CP violation can occur only via the interference between two amplitudes which have different weak phases and different strong phases. This holds also for all contributions from new physics beyond the standard model which conserve CPT.

$B \rightarrow K\pi$ decays are dominated by the penguin diagram shown in fig. 1 with smaller contributions from the tree diagram shown in fig. 2. CP violation is believed to arise from interference between the dominant penguin and smaller tree amplitudes. The assumption that the tree amplitude is sufficiently small to be treated in first order in the square of the total amplitude has led to the ‘‘Lipkin Sum Rule’’ [3]. The agreement with experiment [1,2] confirmed this assumption [7–10]. However, these results gave no information about tree-penguin interference from data available at that time. The experimental errors were then so large that they could be fit by a pure penguin contribution

II. MODEL-INDEPENDENT SEARCH FOR TREE-PENGUIN INTERFERENCE

A. Isospin analysis

The search begins with a model-independent isospin analysis. The sum rule is most conveniently written [10] as a ‘‘difference rule’’

$$\frac{\tau^0}{\tau^+} \cdot [2B(B^+ \rightarrow K^+\pi^0) - B(B^+ \rightarrow K^0\pi^+)] \approx B(B^0 \rightarrow K^+\pi^-) - 2B(B^0 \rightarrow K^0\pi^0) \quad (2.1)$$

where the result was expressed in terms of branching ratios, denoted by $B()$ and τ^0/τ^+ denotes the ratio of the experimental B^0 and B^+ lifetimes.. This relation (2.1) states that the $I = 3/2$ contributions to charged and neutral decays are equal. These $I = 3/2$ contributions vanish in final $K\pi$ states produced by a penguin diagram which has isospin $I=1/2$.

The presence of an appreciable tree diagram contribution is indicated in new experimental data by the strong violation of the $I = 1/2$ isospin relation between B^+ and B^0 decays. The value of the following expression is predicted to vanish in a pure penguin transition differs from zero by many standard deviations.

$$\frac{\tau^o}{\tau^+} \cdot 2B(B^+ \rightarrow K^+\pi^o) - B(B^o \rightarrow K^+\pi^-) = 4.7 \pm 0.82 \neq 0 \quad (2.2)$$

where B denotes the branching ratio in units of 10^{-6} and we use the following experimental values

$$\begin{aligned} B(B^o \rightarrow K^+\pi^-) &= 19.4 \pm 0.6 \\ \frac{\tau^o}{\tau^+} \cdot B(B^+ \rightarrow K^o\pi^+) &= \frac{(23.1 \pm 1.0)}{1.07} = 21.6 \pm 0.93 \\ \frac{\tau^o}{\tau^+} \cdot 2B(B^+ \rightarrow K^+\pi^o) &= \frac{2 \cdot (12.9 \pm 0.6)}{1.07} = 24.1 \pm 0.56 \end{aligned} \quad (2.3)$$

$$B(B^o \rightarrow K^o\pi^o) = (9.4 \pm 0.6)$$

On the other hand the $I=3/2$ components of individual B^+ and B^o branching ratios are experimentally consistent with zero.

$$\begin{aligned} B_{3/2}^o &= B(B^o \rightarrow K^+\pi^-) - 2B(B^o \rightarrow K^o\pi^o) = (19.4 \pm 0.6) - 2 \cdot (9.4 \pm 0.6) = 0.6 \pm 1.3 \\ B_{3/2}^+ &= 2B(B^+ \rightarrow K^+\pi^o) - B(B^+ \rightarrow K^o\pi^+) = (25.8 \pm 1.2) - (23.1 \pm 1.0) = 2.7 \pm 1.6 \end{aligned} \quad (2.4)$$

The suppression of the $I = 3/2$ component is seen more clearly in the ratio of only 10% between the $I = 3/2$ contribution to neutral decays and the $I = 3/2$ contribution in the relation (2.2) including both charged and neutral decays.

$$\frac{2B(B^o \rightarrow K^o\pi^o) - B(B^o \rightarrow K^+\pi^-)}{[\tau^o/\tau^+] \cdot [B(B^+ \rightarrow K^o\pi^+) + 2B(B^+ \rightarrow K^+\pi^o)] - 2B(B^o \rightarrow K^+\pi^-)} = 0.09 \pm 0.1 \quad (2.5)$$

This contrast between data on neutral and charged B decays is surprising because the two decays differ only in the flavor of the spectator quark which does not participate in the weak interaction vertex. Furthermore, the strongest evidence against the $I=3/2$ contribution comes from the neutral decays where the presence of CP violation indicates that there must be a tree contribution and tree-penguin interference. This contrast is not predicted in any of the standard treatments [4,5,7–10].

B. A search for spectator quark flavor dependence

We first note that symmetries respected by QCD for the tree diagram can solve all puzzles.

The tree diagrams for the decays of a \bar{b} antiquark and a positive B meson to a charmless final state are described by the vertices

$$\bar{b} \rightarrow \bar{q}u\bar{u}; \quad B^+ = \bar{b}u \rightarrow \bar{q}u\bar{u} \quad (2.6)$$

where \bar{q} denotes a \bar{d} antiquark for $\pi\pi$ decays or a \bar{s} antiquark for $K\pi$ decays.

QCD describes the transition from this $\bar{q}u\bar{u}u$ initial state to a final state of two color-singlet spinless mesons in an S wave. We show below that all the puzzles are solved by an analysis using only the conservation laws respected by QCD without any detailed dynamics.

We first investigate the QCD symmetry constraints in a microscopic quark picture.

The tree diagram for B^\pm decay amplitudes contains two identical u quarks in the final state which must obey the Pauli principle. The final state in B^o decay has no identical quarks and no Pauli constraints. This is the source of the dependence on the flavor of the spectator quark. For a rough approximation of the Pauli antisymmetrization needed in the final states containing two u quarks we simply discard all amplitudes to final states containing two u quarks.

Below we will show how using this approximation resolves the puzzles and predicts new isospin relations that are in surprising agreement with experiment.

1. The tree amplitude is predicted to vanish in B^\pm decays
 - Explains dependence on charge of spectator quark
 - Explains absence of CP violation in B^\pm decays
2. New isospin relations predicted between the $B \rightarrow K\pi$ amplitudes
 - Individual B^o and B^+ decay amplitudes satisfy pure $I = 1/2$ penguin prediction(2.4)
 - Strong violation of the $I = 1/2$ only in relation between B^+ and B^o decays(2.2).
3. New experimental results show agreement with Pauli approximation predictions.
 - Even in presence of tree contribution $I=3/2$ component experimentally consistent with zero
 - Penguin prediction of no $I=3/2$ fails in relations between B^+ and B^o decays.

C. Theoretical loopholes

But the theoretical basis of this Pauli approximation is questionable. The two u quarks are separated in the final state and have different spatial wave functions. Furthermore this approximation fails experimentally when applied to $B \rightarrow \pi\pi$ amplitudes shown in fig. 2 after changing the strange \bar{s} antiquark to a \bar{d} antiquark. Here the final state also has two identical u quarks but the tree amplitude does not vanish.

In the remainder of this paper we present a complete analysis of Pauli effects including symmetry constraints. We include entanglement that preserves these constraints even after the final state separates into two mesons. This treatment confirms the Pauli suppression only for the tree diagram for $B^\pm \rightarrow K\pi$ decays and not for the tree diagram in $B^\pm \rightarrow \pi\pi$ decays.

D. An independent derivation of tree diagram suppression using only symmetries

Without using the Pauli principle nor any microscopic quark model for hadrons we derive tree diagram suppression by applying only flavor symmetry and generalized Bose statistics to $B \rightarrow \pi^+\pi^0$ decay. The final state of two isovector spinless bosons in the same isospin multiplet and in an S-wave must be symmetric in isospin. It must be a pure isospin 2 state in a 27-dimensional representation of flavor SU(3). The I=1 combination is forbidden. These flavor-symmetry constraints remain even after the final state dissociates into two distant pseudoscalar mesons.

The $B \rightarrow \pi^+\pi^0$ decay is described by a pure tree diagram with no penguin contribution. The $B \rightarrow K^+\pi$ decay also has a penguin diagram which is unrelated to $B \rightarrow \pi^+\pi^0$ decays. We limit our analysis here to the tree diagram contribution which is sufficient for our purposes. We now examine the $SU(3)_{\text{flavor}}$ ($d \rightarrow s$) transformation which changes $\pi^+(u\bar{d})$ to $K^+(u\bar{s})$ and changes the tree diagram $B^+ \rightarrow u\bar{u}d u$ for $\pi\pi$ decays into the tree diagram $B^+ \rightarrow u\bar{u}s u$ for $K\pi$ decays. It changes isospin to V spin, the isospin triplet pion to a V spin triplet and $\pi^+\pi^0$ to K^+V_{10} where V_{10} denotes the neutral member of the V spin triplet

$$V_{10} = \frac{\pi^0 + \sqrt{3}\eta_8}{2} \quad (2.7)$$

where η_8 is the SU(3) octet linear combination of η and η' .

The quark compositions here are

$$\pi^0 = \frac{u\bar{u} - d\bar{d}}{\sqrt{2}}; \quad V_{10} = \frac{u\bar{u} - s\bar{s}}{\sqrt{2}} = \frac{u\bar{u} - d\bar{d} + u\bar{u} + d\bar{d} - 2s\bar{s}}{2\sqrt{2}}; \quad \eta_8 = \frac{u\bar{u} + d\bar{d} - 2s\bar{s}}{\sqrt{6}} \quad (2.8)$$

We do not use this quark structure to derive tree diagram suppression, only symmetries.

The tree contribution to $B \rightarrow K^+\pi$ decay must have $V=\text{spin } V=2$. $V=1$ is forbidden. The $K^+\pi^0$ state can be seen to be only (1/4) of the SU(3) 27 related to the $B \rightarrow \pi\pi$ decay and only (1/4) of the $V=2$ state required by symmetry constraints. In the symmetry limit the strange analog of the $\pi^0\pi^+$ state in a pure SU(3) 27 is a K^+V_{10} state where V_{10} denotes the V spin analog of the π^0 with $V = 1, V_z = 0$. The I=1 pion is mixture of V -spins 0 and 1 with probability of 1/4 for $V=1$. Only the $V=1$ component can combine with $V=1$ K^+ to produce $V=2$.

Thus $SU(3)_{\text{flavor}}$ couplings transform the $\pi^+\pi^0$ to a strange state in the 27-dimensional representation of flavor SU(3) which is mainly $K^+\eta$ and $K^+\eta'$ with only a probability of (1/4) of being $K\pi^0$. The tree diagram contribution to charged B decays thus is reduced by a factor 4 which renders it negligibly small for our purposes here. The remaining (3/4) of the $K^+\pi^0$ state is classified in other representations of SU(3) which are not related to the $B \rightarrow \pi\pi$ decay. Thus there is no possibility for using SU(3) with parameters determined by $B \rightarrow \pi\pi$ decay to obtain parameters for analysis of $B \rightarrow K\pi$.

III. ANALYSIS WITH PAULI ENTANGLEMENT AND COLOR-SPIN

A. An approximate quantitative treatment of Pauli effects in $B \rightarrow K\pi$ decays.

The tree diagram for the transition from an initial B meson state consisting of a \bar{b} antiquark and a nonstrange spectator quark to a strange charmless two-meson final state is

written

$$\begin{aligned} |B_d\rangle &= \bar{b}d \rightarrow \bar{s} \cdot [u\bar{u}] d \\ |B_u\rangle &= \bar{b}u \rightarrow \bar{s} \cdot [\kappa \cdot u\bar{u}] u \end{aligned} \quad (3.1)$$

where the parameter κ is a Pauli factor expressing the probability that the two u quarks are not Pauli blocked because they are not in the same color-spin state.

We first consider the approximation $\kappa \approx 0$ where a u quark produced by a weak interaction cannot enter the same state as a u spectator quark. The states with $\kappa = 0$ have no quark pairs of the same flavor. We call these Pauli-favored states.

When $\kappa = 0$ the tree diagram (3.1) is finite for neutral decays but vanishes in charged decays. This suppresses the tree contribution and CP violation in charged B decay while allowing the tree contribution in neutral decays. This suppression is lost in conventional treatments which [7–10] separate the contribution of the tree diagram shown in fig. 2 into independent color favored and color suppressed diagrams shown in figs. 3 and 4. This separation introduces errors in the analysis of charged B decays by overlooking the symmetry constraints from the Pauli antisymmetry required by the presence of two identical u -quarks in the two diagrams. The two amplitudes go into one another under the exchange of the two u -quarks. The isospins of the u -quark produced in the weak vertex and of the spectator u quark must be entangled to always couple to isospin 1 even when the quarks are separated into two separate mesons. We avoid these difficulties here by including entanglement in a general symmetry analysis

The tree amplitude produces a $u\bar{u}$ pair in the b decay vertex. This amplitude is Pauli suppressed in charged B decays where the spectator quark is also a u quark. Thus in the $\kappa = 0$ approximation tree-penguin interference which might produce CP violation is present in neutral decays and absent in charged decays. This can explain how CP violation can be drastically changed by changing the spectator quark and the otherwise mysterious result (1.2).

In the remainder of this paper we show how the Pauli condition $\kappa \approx 0$ which seems surprising in the standard treatment [7–10] arises naturally from a detailed analysis including symmetry, the Pauli principle and entanglement. We now go beyond the $\kappa = 0$ approximation and consider a full color-spin analysis.

B. Detailed symmetry and Pauli analysis

All puzzles can hopefully be resolved by a complete QCD calculation which is not yet feasible. However, we show below that symmetry methods are sufficient for obtaining all relevant results without such QCD calculations.

In B decays to two pseudoscalar mesons a spin-zero state decays into two spin-zero particles with even parity and zero internal orbital angular momentum. To conserve angular momentum the final state must have no orbital angular momentum. We analyze the color, flavor and spin couplings in a tree diagram by separately determining these couplings for the quark and antiquark pairs. We then combine these pairs to make a spin-zero color-singlet four-quark state.

1. The state of the two u quarks and state of the two antiquarks $\bar{u}\bar{q}$ must both have wave functions that are symmetric in space and flavor and antisymmetric in color-spin.

- The state of the two antiquarks $\bar{u}\bar{q}$ must have even parity since the odd parity state vanishes at the point where they are created.
 - Since parity is conserved only a flavor-symmetric component of the uu state can combine with the even parity antiquark pair to produce an even parity final state.
 - Two u quarks in a spatially symmetric state are required by the Pauli principle to be antisymmetric in color or spin.
 - The antiquark pair in (2.6) must also be antisymmetric in either color or spin.
 - Although no Pauli principle forbids a symmetric color - spin state for the antiquark pair such states cannot combine with the uu pair to make the spin-zero color singlet final state $\pi\pi$ or $K\pi$.
2. The fragmentation of a $uu\bar{u}\bar{q}$ state into a $\pi^+\pi^0$ or $K^+\pi^0$ is a strong interaction which conserves flavor SU(3)
 - The flavor SU(3) correlations remain entangled even after the final state dissociates into two separated mesons.
 - The generalized Pauli principle requires both the uu diquark and the $\bar{u}\bar{q}$ antiquark to be symmetric in flavor SU(3) and its SU(2) subgroup isospin for $\pi\pi$ decays or V-spin for $K\pi$ decays.
 - Each is therefore respectively in the symmetric isospin state with $I = 1$ or in the symmetric V-spin state with $V = 1$
 3. The fragmentation of a $uu\bar{u}\bar{q}$ state into a $\pi^+\pi^0$ or $K^+\pi^0$ is a strong interaction which conserves charge conjugation.
 4. To combine charge conjugation and SU(3) Dothan [12] generalized the idea of G parity from SU(2) to SU(n).
 5. For V spin Dothan parity G_V defines the relative phases of the charge conjugate states in the same V-spin multiplet and defines the eigenvalue under charge conjugation of its C-eigenstate members.
 6. We apply conservation of Dothan parity to G-parity and G_V parity in the final state
 - The pion isotriplet has isospin one and odd G parity. The isoscalar pseudoscalar mesons η and η' have isospin zero and even G parity.
 - A nonstrange final state must be even under charge conjugation and have even G parity to decay into two pions in an orbital S wave.
 - The K^+ and the three members of the V spin triplet have V spin 1 and odd G_V parity.
 - The V-spin scalar and vector pseudoscalar mesons are linear combinations of π^0 , η and η' with V-spin zero, even G_V parity and combinations with V-spin one, odd G_V parity.
 - The π^0 is (3/4) V-spin zero, even G_V parity and (1/4) with V-spin one, odd G_V parity.

To produce a final state with even G parity the $(I = 1, I_z = +1)$ diquark and the $(I = 1, I_z = 0)$ antidiquark must be coupled symmetrically to $(I = 2, I_z = +1)$. Similarly the $(V = 1, V_z = +1)$ diquark and the $(V = 1, V_z = 0)$ antidiquark must be coupled symmetrically to $(V = 2, V_z = +1)$ to produce a final state with even G_V parity. These states are in the 27-dimensional representation of flavor $SU(3)$.

The symmetry quantum numbers of the state at short times are assumed to be preserved at longer times with entanglement if necessary. There is no need to consider the difference between the spatial wave functions of the spectator b quark and the recoiling light meson. Their symmetries are entangled even at large distances.

The dependence on spectator flavor arises from the Pauli blocking by the spectator quark of a quark of the same flavor participating in the weak vertex. The u -quark produced by a tree diagram is Pauli blocked by the spectator u quark in B^+ decay but is not affected by the spectator d quark in neutral decays. This difference in Pauli blocking suppresses the tree contribution and CP violation in charged B decays but allows tree-penguin interference and enables CP violation to be observed in neutral decays.

The final states in the 27 are produced from a u quark pair in a color-spin state which satisfies the Pauli Principle. Final states of two pseudoscalar mesons in other representations of $SU(3)_{\text{flavor}}$ are Pauli suppressed.

A final $\pi^0\pi^+$ state is a pure $I = 2$ state in a pure $SU(3)$ 27. Thus the tree diagram for the nonstrange transition ($B^+ \rightarrow \pi + \pi^0$) is not Pauli suppressed.

A final $K^0\pi^+$ state has no $V = 2$ component, since both the K^0 and π^+ have $V=1/2$. Thus the tree diagram for the $K^0\pi^+$ decay must vanish and this decay is pure penguin.

The final $K^+\pi^0$ state contains a π^0 which is a linear combination of $V = 0$ and $V = 1$ states with probability of $1/4$ for $V = 1$. The component with $V = 0$ cannot combine with a $V = 1$ K^+ to make $V = 2$. The $V = 1$ component can combine with a $V = 1$ to make $V = 2$. Thus the probability that the final $K^+\pi^0$ state has a $V = 2$ component is $1/4$. Thus we see that Pauli blocking suppresses the tree diagram for the ($B^+ \rightarrow K^+\pi^0$) transition by a factor 4.

Present data are consistent with complete suppression but evidence for a partial suppression is still down in the noise.

The $ud\bar{u}\bar{s}$ state created in the tree diagram for B_d decay has no such restrictions. It can be in a flavor $SU(3)$ octet as well as a 27. Its “diquark-antidiquark” configuration includes the flavor- $SU(3)$ octet constructed from the spin-zero color-antitriplet flavor-antitriplet “good” diquark found in the Λ baryon and its conjugate “good” antidiquark. These “good diquarks” do not exist in the corresponding $uu\bar{u}\bar{s}$ configuration.

We again see that the Pauli effects produce a drastic dependence on spectator quark flavor in the tree diagrams for $B \rightarrow K\pi$ decays. Tree-penguin interference can explain both the presence of CP violation in neutral decays and its absence charged decays.

We now note that the ud pair in the final states must be isoscalar by the generalized Pauli principle. The final states must then be pure isospin eigenstates with $I = 1/2$ and confirm the experimental result (2.4). In the standard treatments [4,5] the $I = 3/2$ component is not suppressed in pure tree transitions

The quark diagrams for $B \rightarrow \pi\pi$ show $d\bar{d}$ states which are identified with a π^0 . However this turns the $d\bar{d}$ state into an $s\bar{s}$ state which is a linear combination of η and η' . There is no simple way to interpret the contribution of this diagram in a $K\pi$ final state. We therefore

have avoided the use of the simple tree diagrams in converting $B \rightarrow \pi\pi$ tree amplitudes to $B \rightarrow K\pi$ tree amplitudes.

IV. UPDATING CONVENTIONAL ANALYSIS USING SYMMETRY CONSTRAINTS AND NEW DATA

A. Effects of Pauli constraint on tree diagram

We now examine the effect of introducing symmetry constraints on a detailed conventional analysis of new experimental data with no new theory. Standard treatments [4,5,7–10] of charmless B decays have incorrectly overlooked symmetry constraints in using data from $B \rightarrow \pi\pi$ decays together with SU(3) flavor symmetry to obtain parameters for analysis of $B \rightarrow K\pi$. At that time precise $B \rightarrow K\pi$ data were not yet available. New more precise data revealed contradictions with this approach [11]. The source of these contradictions can be seen in improper use of SU(3) and neglect of entanglement and the Pauli principle. One problem arises because the $SU(3)_{\text{flavor}}$ transformation which changes π^+ to K^+ changes the π^0 into a linear combination of π^0 , η and η' and does not directly relate $B^\pm \rightarrow K\pi$ and $B^\pm \rightarrow \pi\pi$.

Conventional analysis splits the amplitude for the tree diagram shown in Fig. 2 into two components.

1. The color-favored tree amplitude, denoted by T and shown in Fig. 3
2. The color-suppressed tree amplitude, denoted by S and shown in Fig. 4

The two amplitudes T and S are considered independent and the necessity for Pauli antisymmetry of states containing two identical u quarks is overlooked. This antisymmetry provides an additional symmetry constraint on these tree amplitudes which produces an approximate cancelation of the tree contribution. This cancelation explains the dependence of the tree diagram on the flavor of the spectator quark observed experimentally in:

1. The difference in CP violation between neutral B decays (1.1) and charged (1.2) decays
2. The peculiar difference between isospins of tree diagram contributions shown in (2.2) and (2.4).

B. Conventional analysis of difference rule experimental data support symmetry constraints

Four experimental branching ratios for $B \rightarrow K\pi$ are available [1,2]. The four final state amplitudes are expressed in terms of three amplitudes [7–10]. The two amplitudes T and S and the dominant gluonic penguin amplitude denoted by P and shown in Fig. 1.

The standard treatment [7–10] neglects entanglement and assumes that the two tree contributions are independent and are sufficiently small to enable the interference terms to be taken only to first order,

$$\begin{aligned}
A[K^0\pi^+] &= P; \quad A[K^+\pi^-] = T + P \\
A[K^0\pi^0] &= \frac{1}{\sqrt{2}}[S - P]; \quad A[K^+\pi^0] = \frac{1}{\sqrt{2}}[T + S + P]
\end{aligned} \tag{4.1}$$

The four $B \rightarrow K\pi$ branching ratios are determined by three parameters, P and two interference terms $P \cdot T$ and $P \cdot S$. But the new experimental data (2.4) show that both sides of (2.1) vanish as required by a pure penguin diagram. The data also reveal elsewhere (2.2) a disagreement with the pure penguin and provide clues to the tree-penguin interference contribution.

We investigate these contradictions by investigating three different independent differences between these branching ratios which eliminate the penguin contribution. We express (2.4) and (2.2) in terms of the amplitudes T , P and S :

$$\begin{aligned}
B(B^0 \rightarrow K^+\pi^-) - 2B(B^0 \rightarrow K^0\pi^0) &= 2\vec{P} \cdot (\vec{T} + \vec{S}) = 0.6 \pm 1.3 \approx 0 \\
2B(B^+ \rightarrow K^+\pi^0) - B(B^+ \rightarrow K^0\pi^+) &= 2\vec{P} \cdot (\vec{T} + \vec{S}) = 2.7 \pm 1.6 \approx 0 \\
\frac{\tau^0}{\tau^+} \cdot 2B(B^+ \rightarrow K^+\pi^0) - B(B^0 \rightarrow K^+\pi^-) &= 2\vec{P} \cdot (\vec{S}) = 4.7 \pm 0.82 \neq 0
\end{aligned} \tag{4.2}$$

Expressing the relation (2.5) in terms of the amplitudes T , P and S gives

$$\frac{\vec{P} \cdot (\vec{T} + \vec{S})}{\vec{P} \cdot (\vec{T} - \vec{S})} = \frac{2B(B^0 \rightarrow K^0\pi^0) - B(B^0 \rightarrow K^+\pi^-)}{[\tau^0/\tau^+] \cdot [B(B^+ \rightarrow K^0\pi^+) + 2B(B^+ \rightarrow K^+\pi^0)] - 2B(B^0 \rightarrow K^+\pi^-)} = 0.09 \pm 0.1 \tag{4.3}$$

The new precise data show that the interference term between the dominant penguin amplitude and the color-suppressed tree amplitude $\vec{P} \cdot \vec{S}$ is definitely finite and well above the experimental errors. The sum rule is still satisfied within two standard deviations and is now nontrivial. But the interference term $\vec{P} \cdot (\vec{T} + \vec{S})$ is now equal to zero well within the experimental errors (4.3).

There is no new theory here. Choosing three independent differences in a way to minimize experimental errors shows significant signals well above the noise of experimental errors that still fit an overdetermination of the two parameters and lead to the result (4.3). The relation between charged and neutral decays shows finite tree-penguin interference contributions that can produce the observed direct CP violation in neutral B-decays. However the individual differences between two neutral decays and between two charged decays satisfy the 1/2 pure penguin prediction of zero with no evidence for an I=3/2 contribution well below the noise and below the other contribution. The absence of tree-penguin contributions in these differences is completely unpredicted in the standard treatments when finite tree-penguin interference is detected in both in the relation between charged and neutral decays.

This difference from the earlier conclusions [7–10], can be seen to arise from the Pauli principle neglected in conventional treatments. The amplitudes T and S go into one another under the interchange of the two identical u quarks in $A[K^+\pi^0]$.

Consider the approximation $\kappa \approx 0$ where a u quark produced by a weak interaction cannot enter the same state as a u spectator quark. Pauli antisymmetry requires T and S amplitudes to be equal and opposite. We have shown that even in the general case, $\kappa \neq 0$, this additional symmetry constraint reduces the tree contribution to $B^\pm \rightarrow K\pi$ by a factor 4 and makes it negligible here. Then $T + S \approx 0$ and we obtain

$$\begin{aligned}
|A[K^o\pi^+]|^2 &= |\vec{P}|^2; \quad |A[K^+\pi^-]|^2 \approx |\vec{P}|^2 + 2\vec{P} \cdot \vec{T} \\
2 \cdot |A[K^o\pi^o]|^2 &\approx |\vec{P}|^2 + 2\vec{P} \cdot \vec{T}; \quad 2 \cdot |A[K^+\pi^o]|^2 \approx |\vec{P}|^2
\end{aligned}
\tag{4.4}$$

where the approximate equalities hold to first order in the T amplitude. These lead to the experimentally confirmed [2] prediction (4.5) that the final states in both $B \rightarrow K\pi$ decays are pure $I = 1/2$ and that $I = 3/2$ contributions both vanish.

$$\begin{aligned}
|A[K^o\pi^+]|^2 &\approx 2 \cdot |A[K^+\pi^o]|^2 \\
|A[K^+\pi^-]|^2 &\approx 2 \cdot |A[K^o\pi^o]|^2
\end{aligned}
\tag{4.5}$$

These predictions have been experimentally confirmed (2.4).

Thus tree-penguin interference with normally ignored Pauli effects can explain the observed CP violation in charged B-decays and its absence in neutral decays. This shows how a nontrivial change in the weak decay amplitude can arise from a change of the flavor of the spectator quark.

The two transitions which have a d-quark spectator have different final states $K^+\pi^-$ and $K^o\pi^o$. They are described individually (4.2) by the interference between the dominant common penguin diagram shown in fig. 1 and color-favored and color-suppressed tree amplitudes shown respectively in figs. 3 and 4. If tree-penguin is the source of the observed CP violation and the color-favored and color suppressed tree diagrams are independent one would expect no relation like (4.2) between two independent amplitudes. The two transitions which have a u-quark spectator both lead to linear combinations of two different final states $K^+\pi^o$ and $K^o\pi^+$ with different relative phase. The observation of cancelation between the contributions of the two tree diagrams is surprising in standard treatments missing Pauli entanglement. They assumed that the these two tree contributions were completely independent and not expected to cancel.

C. Effects of SU(3) symmetry breaking

We note that $SU(3)_{\text{flavor}}$ seems to be badly broken. But the success of the Gell-Mann Okubo mass formula indicates that simple first-order symmetry breaking can lead to useful results. The pion and kaon masses which are degenerate in the SU(3) limit are 140MeV and 494 MeV. This is a large symmetry breaking. However if we consider the SU(2) subgroups of U-spin and V-spin the triplets of both include two kaons and a third neutral member. The mass of the neutral members of the U spin and V spin triplet is

$$M(U_{10}) = M(V_{10}) = \frac{3 \cdot M(\eta) + M(\pi)}{4} = 446 \text{ MeV}
\tag{4.6}$$

This suggests that using each of the subgroups of isospin, U spin and V spin separately can give reasonably approximate results. The large $K - \pi$ mass difference plays only a small role in these subgroups. The serious breaking by the $K - \pi$ mass difference becomes important only when the three subgroups are combined.

V. A FLAVOR TOPOLOGY ANALYSIS WHICH INCLUDES FINAL STATE INTERACTIONS

The unique flavor topology of the charmless strange quasi-two-body weak B decays enables the results (4.3) to be obtained in a more general analysis of these decays including almost all possible diagrams including final state interactions and complicated multiparticle intermediate states.

Consider diagrams for a charmless $B(\bar{b}q_s)$ decay into one strange and one nonstrange meson, where q_s denotes either a u or d . The allowed final states must have the quark constituents $\bar{s}n\bar{n}q_s$ where n denotes a u or d nonstrange quark. We consider the topologies of all possible diagrams in which a \bar{b} antiquark and a nonstrange quark enter a black box from which two final $q\bar{q}$ pairs emerge. We follow the quark lines of the four final state particles through the diagram going backward and forward in time until they reach either the initial state or a vertex where they are created. There are only two possible quark-line topologies for these diagrams:

1. We call a generalized penguin diagram, shown in Fig. 1, the sum of all possible diagrams in which a $\bar{q}q$ pair appearing in the final state is created by a gluon somewhere in the diagram. The quark lines for the remaining pair must go back to the weak vertex or the initial state. This diagram includes not only the normally called penguin diagram but all other diagrams in which the final pair is created by gluons somewhere in the diagram. This includes for example all diagrams normally called “tree diagrams” in which an outgoing $u\bar{u}$ or $c\bar{c}$ pair is annihilated into gluons in a final state interaction and a new isoscalar $\bar{q}q$ pair is created by the gluons. There are two topologies for penguin diagrams.
 - A normal penguin diagram has a the spectator quark line continuing unbroken from the initial state to the final state. This penguin contribution is described by a single parameter, denoted by P which is independent of the spectator quark flavor and contributes equally to the $\bar{s}u\bar{u}q_s$ and $\bar{s}d\bar{d}q_s$ states.
 - A diagram which we call here an “anomalous penguin” has the spectator “u” quark in a B^+ decay annihilated in a final state interaction against the \bar{u} antiquark produced in a tree diagram. This diagram also contributes equally to the $\bar{s}u\bar{u}q_s$ and $\bar{s}d\bar{d}q_s$ states. But this diagram denoted by P_u is present only in charged decays.
2. We call the “tree diagram” the sum of all possible diagrams in which all of the four quark lines leading to the final state go back to a initial $\bar{s}u\bar{u}$ state created by the weak decay of the b quark and the q_s spectator whose line goes back to the initial state. There are two possible couplings of the pairs to create final two meson states from this diagram
 - The $\bar{s}u$ pair is coupled to make a strange meson; the $\bar{u}q_s$ pair is coupled to make a nonstrange meson as shown in Fig.2. This is conventionally called the color-favored coupling. The contribution of this coupling is described by a single parameter, denoted by T .

- The $\bar{s}q_s$ pair is coupled to make a strange meson; the $u\bar{u}$ is coupled to make a nonstrange meson as shown in Fig. 3. This is conventionally called the color-suppressed coupling. The contribution of this coupling is described by a single parameter, denoted by S .

All the results (4.3) obtained with the conventional definitions of P , T and S are seen to hold here with the new definitions of P , T and S . They now include contributions from all final state interactions which conserve isospin and do not change quark flavor. The one final state interaction not included is the P_u diagram occurring in B^+ decays. The flavor topology of this diagram creates an additional $I = 1/2$ state which is neglected in the derivation of the results (4.3). These results hold as long as the contribution of this P_u diagram by final state interactions to the observed final states is negligible.

The additional $I = 1/2$ contribution does not affect the “difference rule” (2.1) which considers only the $I = 3/2$ contributions.

In neutral B_d decays there is no P_u diagram. Thus the simple relations (4.3) between the P , T and S amplitudes hold for neutral decays are not changed by isospin conserving final state interactions.

Further analysis of the contribution of this additional $I = 1/2$ contribution is needed to include its modification of tree-penguin interference in obtaining definite values for CP violation in charged B decay.

The electromagnetic penguin diagram is also included in this flavor-topology formulation. The photon coupling to a $q\bar{q}$ pair can be written

$$\gamma \rightarrow 2u\bar{u} - d\bar{d} - s\bar{s} = 3u\bar{u} - [u\bar{u} + d\bar{d} + s\bar{s}] \quad (5.1)$$

This coupling is included in the flavor-topology formulation as a linear combination of a tree coupling and a penguin coupling and contributes to the results (4.3). However there is now no simple relation between the P , T and S amplitudes and CKM matrices.

VI. COMPARISON WITH OTHER APPROACHES

Previous analyses [4,5] were performed at a time when experimental values for $B \rightarrow K\pi$ branching ratios were not sufficiently precise to enable a significant test of the sum rule (2.1). Values of each of the three interference terms in (4.3) were statistically consistent with zero. The full analysis required the use of data from $B \rightarrow \pi\pi$ decays and the assumption of $SU(3)_{flavor}$ symmetry. Contributions of the electromagnetic penguin diagram were included and the relevant CKM matrix elements were included. But there was no inclusion of constraints from the Pauli principle nor contributions from final state interactions.

The present analysis uses new experimental data which enable a statistically significant evaluation of the interference terms (4.3) without additional information from $B \rightarrow \pi\pi$ decays or the assumption of $SU(3)_{flavor}$ symmetry relating $B \rightarrow K\pi$ and $B \rightarrow \pi\pi$ decays. Constraints from the Pauli principle are included in a general calculation including color and spin and entanglement. Symmetries of the original weak amplitude are preserved with entanglement in the final state of two separated mesons. Contributions from all isospin invariant finite state interactions are included as well as constraints from the Pauli principle. The flavor topology definition of the interference parameters includes contributions from the

electromagnetic penguin diagram since the quark states in final state of a photon can be rewritten as the sum of an isoscalar and a $u\bar{u}$ state. However the flavor topology parameters are no longer simply related to the CKM matrix elements. Additional assumptions and information are necessary to determine the CKM matrix elements and explain CP violation.

The main advantage of this approach is that it gives simple explanations for the absence of CP violation (1.2) in charged B decays, the observed absence of an $I = 3/2$ component in the final state, and the vanishing of the experimental value (4.3)

This vanishing of tree-penguin interference B^+ decays is explained without explicit dynamics by a symmetry analysis including the constraints of the Pauli principle and entanglement on states containing a pair of identical u quarks.

VII. CONCLUSION

Experiment has shown that the penguin-tree interference contribution in $B^+ \rightarrow K^+\pi^0$ decay is very small and may even vanish. The corresponding interference contributions to neutral $B \rightarrow K\pi$ decays have been shown experimentally to be finite. The relation (2.2) shows that the $B \rightarrow K\pi$ transition is not a pure penguin. The relation (2.4) shows that the $I = 1/2$ prediction by a pure penguin diagram holds for the individual charged and neutral decays and is violated only in the ratio of the branching ratios for charged and neutral decays.

The significant difference between the experimental values of expressions (2.4) and (2.2) is not expected in the conventional analyzes. The two relating branching ratios for individual charged and neutral decays still vanish here while one relating charged and neutral case is finite. This indicates a surprising cancelation and motivates a search for a theoretical explanation.

We explain here that in charged decays the previously neglected Pauli antisymmetrization produces a cancelation between color-favored and color-suppressed tree diagrams which differ by the exchange of identical u quarks. This smallness of penguin-tree interference explains in a symmetry analysis without QCD dynamics why CP violation has been observed in neutral $B \rightarrow K\pi$ decays and not in charged decays. Pauli cancelation does not occur in neutral decay diagrams which have no pair of identical quarks.

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FIGURES

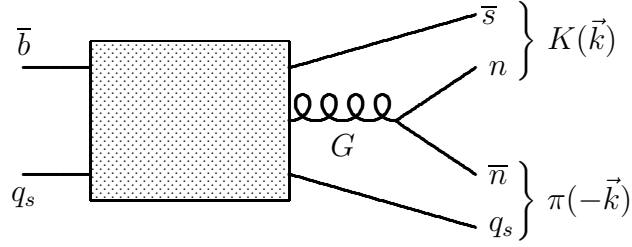


FIG. 1.

“Gluonic penguin” (P) diagram. G denotes any number of gluons. n denotes u or d quark.

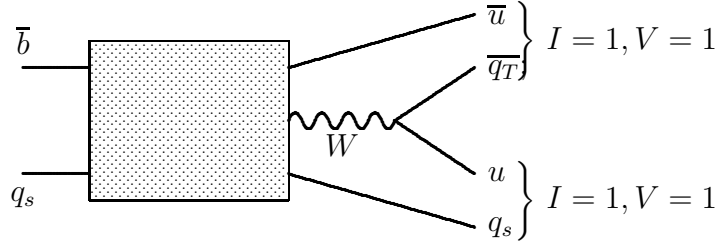


FIG. 2.

Tree diagram. q_T denotes \bar{d} or \bar{s} antiquark.

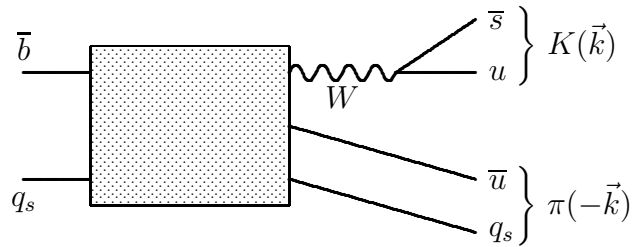


FIG. 3.

Color favored tree (T) diagram for $K\pi$ decay .

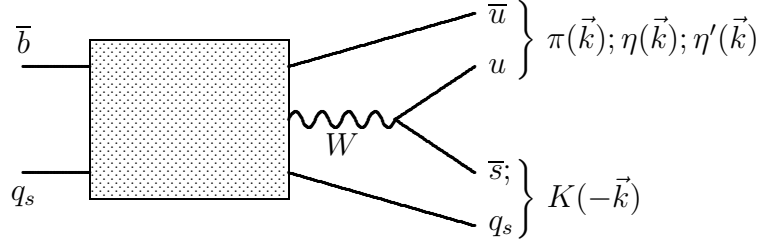


FIG. 4.
Color suppressed tree (S) diagram for $K\pi$ decay .